



# ENTERPRISE

Processes · Planning · Performance



## Compliance Management



Optimally manage regulatory requirements, internal policies and standards, lender mandates and other compliance requirements with a user-friendly application.

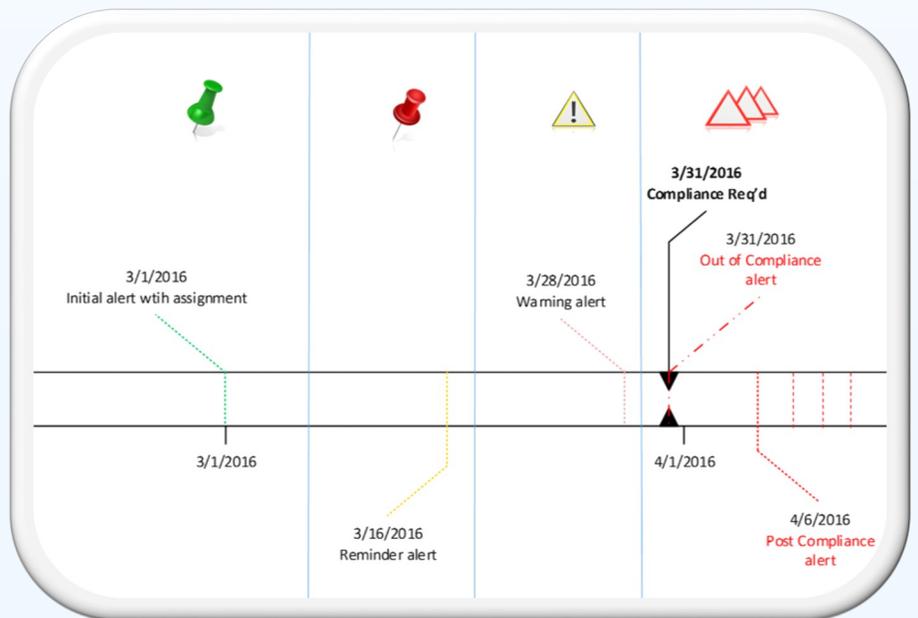
This application not only schedules your actions via email alerts, but also tracks and reminds personnel prior to the due date.

Managing through templates and the document repository ensures a comprehensive and successful compliance program.

### Key Elements

- ◆ Automated obligation calendar and initiation alerts
- ◆ Configurable reminder and warning alerts
- ◆ Compliance indicators and overall status reports
- ◆ Load and store all compliance files in a single location
- ◆ Accessible from any device ensuring effectiveness
- ◆ Improve compliance auditing needs and organizational intelligence
- ◆ Escalation alerts part of the standard process

### Configurable alerts and reminders!



### Simplifying the Complex

Photo Capture integrated

ep<sup>3</sup>, LLC  
5 Luz Del Dia  
Santa Fe, New Mexico, 87506

Web: <http://ep3llc.com>  
Phone: 505-428-0292  
email: [info@ep3llc.com](mailto:info@ep3llc.com)

# COMPLIANCE MANAGEMENT

## - CPM -



A compliance management system that allows for efficient administration, updates and tracking of your entire compliance program:

### **Optimized scheduler**

Establish each compliance record with a unique set of scheduling criteria allowing the Responsible Person adequate time to meet the compliance requirements;

### **Automated alerts and reminders**

Ensure actions are managed by configurable activation alerts, reminder emails, and warning notifications;

### **Easy additions and edits**

Compliance Managers can easily add new records and requirements, schedules, and edit exiting records through the user-friendly entry screen;

### **File storage**

Manage all related compliance documentation, regardless of file type. Access any file easily from anywhere, making retrieval easy and quick for audit needs;

### **Reports**

Easy-to-generate reports providing management a useful tool to ensures compliance oversight, performance & statistical indicators and obligation status monitoring; and,

### **Template driven**

Use your templates (forms, reports, procedures, etc.) to drive compliance excellence. Assign templates to the compliance record and actions created will carry those template to the responsible person for use.

**“Only data used to inspire action is meaningful”**